IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86430

Toshihiro HAYATA

Appln. No.: National Stage Entry of PCT/JP03/10743

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 28, 2005 Examiner: Unknown

For: A MOBILE COMMUNICATION SYSTEM AND AN INTER-FREQUENCY HO METHOD,

MOBILE STATION, BASE TRANSCEIVER STATION, RADIO NETWORK CONTROLLER,

AND PROGRAM FOR THE MOBILE COMMUNICATION SYSTEM

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. JP 2000-50973 A, published July 25, 2000.
- 2. WO 00/64070 A2, published October 26, 2000.
- 3. JP 2002-33700 A, published January 31, 2002.
- 4. JP 10-136425 A, published May 22, 1998.
- 5. JP 2001-224053 A, published August 17, 2001.
- 6. JP 2000-358002 A, published December 26, 2000.
- 7. JP 09-307941 A, published November 28, 1997.

10/526067

PTO1 Rec'd PCT/PTC 28 FEB 2005

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.:

8. WO 97/40592 A1, published October 30, 1997.

9. WO 01/20942 A1, published March 22, 2001.

10. Murni, et al., "Development of DCMA (IS-95) Digital Cellular Telecommunication System",

NEC, Vol. 51, No. 7, (1996), pp. 16-21

The present Information Disclosure Statement is being filed: (1) No later than three months from

the application's filing date; (2) Before the mailing date of the first Office Action on the merits

(whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: February 28, 2005

Carl J. Pellegrini

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Substitute for Form 1449 A & B/PTO		National Stage Entry of		
INFORMATION DISCLOSURE	Application Number	PCT/JP03/10743		
	Confirmation Number	Unknown 5 36 167		
STATEMENT BY APPLICANT	Filing Date	February 28, 2005		
	First Named Inventor	Toshihiro HAYATA		
(use as many sheets as necessary)	Art Unit	Unknown		
	Examiner Name	Unknown		
Sheet 1 of 1	Attorney Docket Number	O86430		

U.S. PATENT DOCUMENTS					
		Document N	Document Number		
Examiner Cite Initials* No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US			
		US			
		US			
	-	US			
		US	·-		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Fo	Foreign Patent Document		Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Vind Code ⁵ MM DD V	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	2000-509573	A	07-25-2000	Telefonaktiebolaget LM Ericsson (publ)	ABS
		wo	00/64070	A2	10-26-2000	Telefonaktiebolaget LM Ericsson (publ)	
		JР	2002-33700	A	01-31-2002	Alcatel	ABS
		JР	2001-224053	Α	08-17-2001	Nokia Mobile Phones Ltd.	ABS
		JP	10-136425	Α	05-22-1998	N T T Ido Tsushinmo KK	ABS
		JP	2000-358002	Α	12-26-2000	Sony International Europ GMBH	ABS
		JP	09-307941	A	11-28-1997	Matsuhita Electric Ind. Co. Ltd.	
		wo	97/40592	A1	10-30-1997	Telefonaktiebolaget LM Ericsson (publ)	
	•	WO	01/20942	A1	03-22-2001	Ericsson Radio Systems AB	

(when appropriate), title of the item (book, magazine, iber(s), publisher, city, and/or country where published. ular Telecommunication System", NEC, Vol.	Translation ⁶
ular Telecommunication System", NEC, Vol.	ABS
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.